



# Business New Account Submission Guide

Please refer to this document when submitting your Alpari (US) Account Application.

To open an account with Alpari you must submit a New Account Application and all supporting documentation by fax, mail, email to [accounts@alpari-us.com](mailto:accounts@alpari-us.com). Or, submit the application electronically through Alpari's online account application process. The application and all supporting documentation must be physically signed by a controlling officer. If a US citizen or resident of a US territory you must submit your Social Security Number.

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[www.alpari-us.com](http://www.alpari-us.com) 

## Electronic Applications

Any entity who applies for a trading account by completing an electronic application, must electronically sign and execute the Application, Customer Agreement, Counterparty Disclosure, Risk Disclosure Statement, Profitability Disclosure, W-9 (US residents) or W-8BEN (non-US residents), and Supplementary Risk Disclosure (if applicable).

## Hardcopy Applications

All US and non-US domiciled entities which apply for a trading account and complete a hard copy of the application must submit a signed and completed New Account Application along with the following documentation to Alpari by fax, mail or email. All documents must be physically signed by a controlling officer.

- |  |   |
|--|---|
| <input type="checkbox"/> One signed and dated Customer Agreement | <input type="checkbox"/> One signed and dated Counterparty Disclosure |
| <input type="checkbox"/> One signed Risk Disclosure Statement    | <input type="checkbox"/> One signed Profitability Disclosure.         |
| <input type="checkbox"/> One completed W-9                       | <input type="checkbox"/> One Supplementary Risk Disclosure            |

## Supporting Documentation Needed

- |   |  |
|---|--|
| <input type="checkbox"/> Articles of Incorporation/Organization   | <input type="checkbox"/> State Certificate of Incorporation                  |
| <input type="checkbox"/> List of Controlling Officers and Directors   | <input type="checkbox"/> Photo ID for all controlling officers and directors |
| <input type="checkbox"/> Alpari Sole Owner Attestation Letter (if applicable)                                   |  |
| <input type="checkbox"/> Alpari LLC/ Corporate Resolution (whichever applies)                                   |  |
| <input type="checkbox"/> Alpari Proprietary Letter  |  |
| <input type="checkbox"/> Social Security Number for each officer and beneficial owner if US citizen or resident |  |
| <input type="checkbox"/> One Address Document dated within 6 months of the application                          |  |

\*\*\* P.O. Boxes are not accepted as Primary Address

## Additional Due Diligence

At any time prior to any account being approved, Alpari may request additional documentation to verify the applicant's registration status, nature of business, address, or the identity of its controlling officers or beneficial owners, where insufficient information is provided on the Account Application, any applicant or account is deemed to be a high risk, or where enhanced due diligence is required.

## Restricted Countries

The U.S. government prevents us from opening accounts to customers residing in the following countries sanctioned by OFAC: Balkans, Belarus, Burma, Côte d'Ivoire (Ivory Coast), Cuba, Democratic Republic of Congo, Liberia, former regime of Charles Taylor, Iran, Iraq, Republic of Lebanon, North Korea, Somalia, Sudan, Syria and Zimbabwe as well as people who are specifically penalized.