



Certification Regarding Managed Accounts

PLEASE COMPLETE AND SEND VIA EMAIL TO CS@ALPARI-US.COM OR FAX TO +1 646.825.5761.

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THE UNDERSIGNED HEREBY CERTIFIES AS FOLLOWS:

1. IT IS NOT CURRENTLY REGISTERED AS A COMMODITY TRADING ADVISOR (“CTA”) WITH THE U.S. COMMODITY FUTURES TRADING COMMISSION (“CFTC”);
2. IT MAY ADVISE OTHERS FROM TIME TO TIME ABOUT THE ADVISABILITY OF BUYING OR SELLING FOREX CONTRACTS, FOR COMPENSATION OR PROFIT, BUT DOES NOT HOLD ITSELF OUT TO THE GENERAL PUBLIC, ENGAGE A SOLICITOR TO ATTRACT CLIENTS, MAINTAIN A WEBSITE (UNLESS SUCH WEBSITE IS PASSWORD PROTECTED AND IS NOT AVAILABLE FOR VIEWING BY THE GENERAL PUBLIC), OR OTHERWISE ADVERTISE ITS SERVICES;
3. AT ALL TIMES DURING ITS RELATIONSHIP WITH ALPARI (US), LLC, THE UNDERSIGNED SHALL LIMIT ITS BUSINESS TO NON-US CUSTOMERS; AND
4. IF ANY OF THE ABOVE CEASES TO BE TRUE, THE UNDERSIGNED SHALL IMMEDIATELY PROVIDE WRITTEN NOTICE TO ALPARI, AND THE UNDERSIGNED HEREBY ACKNOWLEDGES THAT ITS RELATIONSHIP WITH ALPARI CAN BE IMMEDIATELY TERMINATED.

Company Name (if applicable): _____

Authorized Signer (please print): _____

Title: _____

Signature: _____

Date (MM/DD/YYYY): _____